

Navigation Chart 1 – for research following standard processes

(This accompanies Section C of the core document and Case Study 1)

<i>Is consent required?</i>		
Does the study require <i>ethical consent</i> from the participants?	Yes	No
See Section E		
Does the study require the data subject's consent under GDPR?	Yes	No
See Information Commission's guidance		

If the answer is 'no' in both cases, then data may be collected without seeking consent from the data subjects. However, a number of other ethical issues remain that need to be addressed (see danger flag checklist below).

Audit or research?

Is the study an audit? Yes No
See Section B

If the study is an audit, then it is unlikely to need formal ethical review. However, the study must be conducted ethically.

Is this study a questionnaire, completed and submitted anonymously? Yes No

If yes, then you should briefly inform the participants as part of the questionnaire about what you will do with their data, but there is no need to record explicit consent (see Section F.3).

Does the study follow the standard process?

	Yes	No
1. Does this study only carry a low risk of harm to participants? See Section I		
2. Are you informing participants of the purpose of this study? See Section F		

3. Are participants required to give their explicit consent and is this recorded? See Section F		
4. Are any participants under 16 years old?		
If 'yes' to no. 4, is parental consent being obtained? See Section G.2		
5. Will the data be anonymised as soon as possible? See Section J		

If you are following the standard process, then ethical review ought to focus on how you will ensure you meet the requirements of that standard.

Ethical issues 'danger flag' checklist (Standard process)

This checklist helps you to identify possible ethical issues that may need to be addressed before the study can begin.

	Yes	No
1. Are the researchers/evaluators competent in conducting this type of study? See Section B		
2. Have participants been directed towards sources of support if they should feel they need it as a result of their involvement in the study? See Section I		
3. Are processes (such as) in place to ensure that participants know they can refuse to answer questions or withdraw from the study? See Section I.2		
4. Is the amount offered for expenses or compensation excessive? See Section G.1		
5. Will the study use peer co-researchers? See Section K		
6. Has the study team considered how any findings will be appropriately disseminated? See Section M		